Docket 50 Categories

The Docket 50 series contains documentation concerning the domestic licensing of production and utilization facilities. Licenses issued under Part 50 (of 10 CFR) cover commercial nuclear power plants; experimental, research and test reactors; fuel fabrication facilities; and standardized plant applications. A Docket-50 number is assigned when NRC considers that the application and the Preliminary Safety Analysis Report (PSAR) submitted by an applicant are complete enough for staff review. "Predocketed" material received prior to that time is assigned a project number and filed in the applicant's Project File.

Because of the large volume of documentation generated over the lifetime of a facility, each Docket-50 file is further divided into the following categories that pertain either to different aspects of the licensing process or to document types.

A. Application/Construction Stage Documents and Related Correspondence.
   This category follows the application from submission to the day preceding the issuance of an operating license. It includes all correspondence and documents with substantive technical content that do not fall into other specific categories.

B. Utility Preliminary Safety Analysis Report (PSAR) and Amendments.
   This category contains the Preliminary Safety Analysis Report (PSAR) submitted by the utility (formerly called Hazards Summary Report or Safety Analysis Reports).

C. Utility Environmental Report (ER), Amendments, and Related Correspondence.
   This category contains the Environmental Report (ER) submitted by the utility and all related documents.

D. NRC Draft and Final Environmental Statements (DES and FES), Supplements, and Correspondence.
   This category contains Draft and Final Environmental Statements (DES and FES) prepared by NRC, and related documents.

E. NRC Safety Evaluation Report (SER), Supplements, and Related Correspondence.
   This category contains the formal Safety Evaluation Report (SER) prepared by the NRC staff (formerly called NRC-AEC Hazard Analysis Report) in review of PSAR, and related documents.

   All plans in this category are individually submitted by the applicant or licensee. Emergency and fire protection plans submitted as part of the licensing application are files in this category.

G. Non-Antitrust Legal and Adjudicatory Correspondence.
   This category contains all non-antitrust legal and adjudicatory documentation, except for transcripts, briefings, and testimony, which are filed in category “T”. Show-cause and modification orders issued by the
Office of Nuclear Reactor Regulation (NRR), and not before the licensing board, are filed in categories “A” and “P”. Exhibits to the proceedings (hearings) are maintained in the PDR on microfiche only. Paper files of the exhibits are available upon request to the PDR from the Docketing and Services Branch, Office of the Secretary.

H. General Correspondence.
This category contains documents with little or no bearing of the status of the application or license. Items that have no substantive technical content and administrative documents are included here.

I. Financial Information.
This category contains all financial information except for that submitted as part of the application or its amendments or that relate to the imposition of civil penalties.

J. Insurance and Indemnity Information.
This category contains indemnity agreements and amendments and other related documents.

K. Utility Final and Updated Safety Analysis Reports (FSAR and USAR) and Amendments.
Other documents related to this category are filed in category “A” or “P”. Emergency and fire protection plans submitted as part of the licensing application are filed in category “F”.

L. Limited Work Authorization (LWA) and Related Correspondence.
This category contains documents pertaining to the limited work authorization (LWA) whereby applicants can conduct limited site preparation and construction before the NRC grants a construction permit.

M. Antitrust Reviews and Related Correspondence.
This category contains documents submitted as part of the application for a construction permit and operating license that are entitled, “Information Requested by the Attorney General for Antitrust Review”.

N. Antitrust Transcripts, Testimony, and Briefings.
This category contains verbatim transcripts of hearings, testimony, and briefings in antitrust matters. Related correspondence is filed in category M.

O. Advisory Committee on Reactor Safeguards (ACRS) Reports and Related Correspondence.
This category contains plant-specific documents pertaining to ACRS safety reviews.

P. Operating License Stage Documents and Related Correspondence.
This category contains general technical documents dated from the issuance of the operating license until the order for termination of the license.

Q. Inspection Reports, Civil Penalties, Responses to Bulletins and Information Notices, and Related Correspondence.
This category includes items such as inspection reports, responses to bulletins, letters concerning areas of noncompliance, notices of violation and proposed impositions of civil penalties, and etc.

R. Periodic Operating Reports and Related Correspondence.
This category contains periodic reports of a routine nature.
S. Reportable Occurrences (ROs), Licensee Event Reports (LERs), Preliminary Notifications of Occurrences (PNSs), and Related Correspondence.
This category contains all materials relating to abnormal, unusual, reportable, and non-routine occurrences or events. NOTE: For PNOs and PNSs, see I&E non-docket file.

T. Transcripts, Testimony, and Briefings on Non-Antitrust Matters.
This category contains verbatim transcripts, testimony, and briefings except for congressional matters (filed in category “U”) and antitrust matters (filed in category “N”). NOTE: Transcripts on specific dockets (facilities) are also filed in ACRS and 9.7 non-docket files.

U. Executive, Congressional, and State Government Correspondence.
This category contains documents and related correspondence that have substantive technical and administrative content.

V. Licensing of Operators and Related Correspondence.
This category contains information bearing on licensing of operators and shift engineers.

W. Decommissioning Stage Documents and Related Correspondence.
This category contains general technical items dated from the order to terminate the operating license.

X. Onsite Storage of Low-Level Waste and Related Correspondence.
This category contains material related to onsite storage of Low-Level Waste (LLW). NOTE: The documents in this category will also have a Nuclear Materials Safety and Safeguards (NMSS) Docket 30 license number associated with the Docket 50 number.

Y. Independent Spent Fuel Storage Installations (ISFSIs) and Related Correspondence.
This category contains material related to license applications, license, amendments and proposed amendments to possess power reactor spent fuel and other radioactive materials associated with spent fuel storage in an independent spent fuel storage installation. (Such ISFSIs may be on a reactor site or at a separate site.) NOTE: The documents in this category will have an NMSS Docket 72 license number. For these installations on a reactor site, the associated Docket 50 number also has been referenced.